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General field
extensions

Simple extensions

Zeros of
polynomials

Construction with
straightedge and
compass

Abstract Algebra, Lecture 14

Field extensions

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<http://courses.mai.liu.se/GU/TATA55/>

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Definition

Suppose that E, F are fields, and that E is a subring of F . We write $E \leq F$ and say that E is a subfield of F , and that F is an overfield of E . The inclusion map $i : E \rightarrow F$ is called a field extension (or equivalently, the pair $E \leq F$).

Example

- Any field is an overfield of its prime subfield
- $\mathbb{Q} \leq \mathbb{R} \leq \mathbb{C}$
- $\mathbb{C} \leq \mathbb{C}(x) \leq \mathbb{C}(x)(y)$
- $\mathbb{Z}_2 \leq \frac{\mathbb{Z}_2[x]}{(x^2+x+1)}$

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Definition

Let $E \leq F$ be a field extension. Then F is a vector space over E . The dimension is denoted by $[F : E]$, and referred to as the degree of the extension. If this dimension is finite, then the extension is said to be finite dimensional.

Example

- $[\mathbb{C} : \mathbb{R}] = 2$, so $\mathbb{R} \leq \mathbb{C}$ is a finite dimensional extension of degree 2.
- $[\mathbb{R} : \mathbb{Q}] = \infty$, so this extension is infinite dimensional.

It is a theorem (as long as you accept the axiom of choice) that any vector space has a basis. In the first example, we can take $\{1, i\}$, in the second, we need set-theory yoga to produce a *Hamel basis*.

Theorem (Tower thm)

If $K \leq L \leq M$, then $[M : K] = [M : L][L : M]$.

Proof

Obvious if any extension involved is infinite, so suppose $[M : L] = m < \infty$, $[L : K] = n < \infty$. Then M has an L -basis

$$u_1, \dots, u_m,$$

and L has a K -basis

$$v_1, \dots, v_n.$$

Claim:

$$u_i v_j, \quad 1 \leq i \leq m, 1 \leq j \leq n$$

is a K -basis for M .

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Proof (of claim)

Spanning: take $w \in M$. Then

$$\begin{aligned}w &= \sum_{i=1}^m c_i \mathbf{u}_i, & c_i &\in L \\ &= \sum_{i=1}^m \left(\sum_{j=1}^n d_{ij} \mathbf{v}_j \right) \mathbf{u}_i, & d_{ij} &\in K \\ &= \sum_{i,j} d_{ij} \mathbf{v}_j \mathbf{u}_i\end{aligned}$$

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Proof (of claim)

K -linear independence: suppose that

$$\sum_{i,j} d_{ij} v_j u_i = 0.$$

Then

$$\sum_{i=1}^m \left(\sum_{j=1}^n d_{ij} v_j \right) u_i = 0,$$

so since the u_i 's are L -linearly independent, all coefficients

$$\sum_{j=1}^n d_{ij} v_j = 0.$$

But the v_j 's are K -linearly independent, so all d_{ij} 's are zero.



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Example

$$\mathbb{Z}_2 \leq \frac{\mathbb{Z}_2[x]}{(x^2 + x + 1)} \leq \frac{\left(\frac{\mathbb{Z}_2[x]}{(x^2 + x + 1)}\right)[y]}{(y^3 + y + 1)}$$

has degree $3 * 2 = 6$, and a basis consists of

$$1, \bar{x}, \bar{y}, \overline{xy}, \overline{y^2}, \overline{xy^2}.$$

This finite field thus has $2^6 = 64$ elements.

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Definition

If $E \leq F$, $u \in F$ is algebraic over E if there is a non-zero polynomial $f(x) \in E[x]$ having u as a zero, i.e.,

$$f(x) = \sum_{i=0}^n a_i x^i, \quad a_i \in E,$$

and

$$f(u) = \sum_{i=0}^n a_i u^i = 0 \in F.$$

The smallest degree of a polynomial that works is the degree of u over E . If u is not algebraic over E , then it is transcendental over E .

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Example

$s = \sqrt{2} + 1 \in \mathbb{R}$ is algebraic of degree 2 over \mathbb{Q} , since it satisfies

$$(s - 1)^2 - 2 = 0,$$

but no non-trivial algebraic relation of lower degree.

On the other hand, the number

$$\sum_{j=1}^{\infty} 10^{-j!}$$

is transcendental over \mathbb{Q} , as proved by Liouville.



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Definition

The extension $E \leq F$ is algebraic if every $u \in F$ is algebraic over E .

Example

Let $E = \mathbb{Q}$, and let $F = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$. Put $u = a + b\sqrt{2}$.

- F is a field, since

$$u^{-1} = \frac{1}{a + b\sqrt{2}} = \frac{a - b\sqrt{2}}{a^2 + 2b^2} = \frac{a}{a^2 + 2b^2} + \frac{-b}{a^2 + 2b^2}\sqrt{2}.$$

Note that $a^2 + 2b^2 \neq 0$ when $(0, 0) \neq (a, b) \in \mathbb{Q} \times \mathbb{Q}$.

- $E \leq F$
- $E \leq F$ is algebraic, with every element of F algebraic over \mathbb{Q} with degree at most 2, since

$$(u - a)^2 - 2b^2 = 0.$$

Theorem

If $[F : E] = n < \infty$ then $E \leq F$ is algebraic.

Proof.

Take $u \in F$, and consider

$$1, u, u^2, \dots, u^n \in F$$

These $n + 1$ vectors must be linearly dependent over E , which means that there are $c_i \in E$, not all zero, such that

$$c_0 1 + c_1 u + \dots + c_n u^n = 0$$

Thus, u is algebraic over E . □

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Example

There are algebraic extensions that are not finite-dimensional. For instance, let $E = \mathbb{Q}$, and let F be the smallest subfield of \mathbb{R} that contains all \sqrt{p} for all primes p . Then all elements of F are algebraic; for instance, if $u = \sqrt{2} + \frac{7}{12}\sqrt{3}$ then

$$(u - \sqrt{2})^2 = \frac{3 * 49}{12^2} = \frac{49}{48}$$

$$u^2 - 2\sqrt{2}u + 2 - \frac{49}{48} = 0$$

$$2\sqrt{2}u = u^2 + \left(2 - \frac{49}{48}\right) = u^2 + \frac{47}{48}$$

$$8u = \left(u^2 + \frac{47}{48}\right)^2$$

But the set $\sqrt{2}, \sqrt{3}, \sqrt{5}, \dots$ is infinite and \mathbb{Q} -linearly independent, so $[F : E] = \infty$.



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Definition

Let $E \leq F$ be a field extension, and let $u \in F$. We denote by $E(u)$ the smallest subfield of F containing E and u , in other words

$$E(u) = \bigcap_{\substack{E \leq K \leq F \\ u \in K}} K$$

Picture!

We can also describe it as

$$E(u) = \left\{ \frac{p(u)}{q(u)} \mid p(x), q(x) \in E[x], q(x) \neq 0 \right\}$$

We call $E(u)$ a *simple extension*, and u a *primitive element* of the extension.



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Example

$\mathbb{Q}(\sqrt{2})$ consists of all rational expressions like

$$\frac{a_0 + a_1\sqrt{2} + a_2\sqrt{2}^2 + \cdots + a_n\sqrt{2}^n}{b_0 + b_1\sqrt{2} + b_2\sqrt{2}^2 + \cdots + b_m\sqrt{2}^m},$$

but this actually simplifies to just all

$$a_0 + a_1\sqrt{2}.$$

On the other hand, put $u = \sum_{j=1}^{\infty} 10^{-j!}$, then all expressions

$$\frac{a_0 + a_1u + a_2u^2 + \cdots + a_nu^n}{b_0 + b_1u + b_2u^2 + \cdots + b_mu^m},$$

that are not identical, are different. So $\mathbb{Q} \leq \mathbb{Q}(u)$ is infinite dimensional.

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Theorem

Let $E \leq F$ be a field extension, and let $u \in F$.

- ① If u is algebraic over E , of degree n , then $E \leq E(u)$ is algebraic, and

$$E(u) \simeq \frac{E[x]}{p(x)},$$

where the minimal polynomial $p(x)$

- ① is irreducible
 - ② has degree n
 - ③ is the unique (up to association) non-zero polynomial of smallest degree such that $p(u) = 0$
- ② If u is transcendental over E , then $E \leq E(u)$ is transcendental, and infinite dimensional, and

$$E(u) \simeq E(x),$$

the field of rational functions with coefficients in E .



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Proof

- Consider

$$\phi : E[x] \rightarrow F$$

$$\phi(f(x)) = f(u)$$

- The image is a subring of F , and is contained in $E(u)$. In fact, it is $E[u]$, the smallest *subring* containing u .
- Let $I = \ker \phi$.
- - If $I \neq (0)$, then $I = (p(x))$ for a polynomial, which is (up to association) the unique polynomial of smallest degree in I .
 - Of course $p(u) = 0$; every pol in I has u as a zero, by definition.
 - By the first iso thm, $E[x]/I \simeq E[u] \subseteq E(u) \subseteq F$.
 - So $E[u]$, a subring of a field, is a domain; so I is a prime ideal; so $p(x)$ is irreducible; so I is maximal; so $E[x]/I$ is a field; so $E[u]$ is already a field; so $E[u] = E(u)$.

Proof, cont

- If $I = (0)$, then ϕ is injective.
- Then it factors through the splitting field $E(x)$ of $E[x]$. That is, it extends to

$$\hat{\phi} : E(x) \rightarrow F$$

$$\hat{\phi}\left(\frac{f(x)}{g(x)}\right) = \frac{f(u)}{g(u)}$$

- It is injective, by general nonsense
- The image is precisely $E(u)$, the simple extension
- So $E(x) \simeq E(u)$.



This explains “if not identical, then different”; two rational expressions in the transcendental u are equal iff they coincide as rational functions.



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Example

Let $\mathbb{Q} \leq \mathbb{C} \ni \sqrt{2} + i = u$. What is $\mathbb{Q}(u)$?

We see that

$$u^2 = 2 - 1 + \sqrt{2}i$$

$$\sqrt{2}i = u^2 - 1$$

$$-2 = (u^2 - 1)^2$$

$$u^4 - 2u^2 + 3 = 0$$

Since $f(x) = x^4 - 2x^2 + 3 \in \mathbb{Q}[x]$ is irreducible, it is the minimal polynomial of U , and

$$\mathbb{Q}(u) \simeq \frac{\mathbb{Q}[x]}{(x^4 - 2x^2 + 3)}$$

We have that $[\mathbb{Q}(u) : \mathbb{Q}] = 4$.



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Definition

Let $E \leq F$, and let $u_1, \dots, u_r \in F$. We define $E(u_1, \dots, u_r)$ either as

- The smallest extension of E inside F which contains u_1, \dots, u_r , i.e.,

$$\bigcap_{\substack{E \leq K \leq F \\ u_1, \dots, u_r \in K}} K,$$

- or as the iterated extension

$$E(u_1)(u_2) \cdots (u_r)$$

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Example

Consider $\mathbb{Q}(\sqrt{2})(\sqrt{3})$. We have that $\mathbb{Q}(\sqrt{2})$ has a \mathbb{Q} -basis $\{1, \sqrt{2}\}$, and that $\sqrt{3} \notin \mathbb{Q}(\sqrt{2})$. In fact, $x^2 - 3$ is irreducible both over \mathbb{Q} and over $\mathbb{Q}(\sqrt{2})$, so it is the minimal polynomial of $\sqrt{3}$ over $\mathbb{Q}(\sqrt{2})$. The tower theorem, and its proof, then yields that $\mathbb{Q}(\sqrt{2})(\sqrt{3})$ has a \mathbb{Q} -basis

$$1, \sqrt{2}, \sqrt{3}, \sqrt{2}\sqrt{3}.$$

Now consider $u = \sqrt{2} + \sqrt{3} \in \mathbb{Q}(\sqrt{2}, \sqrt{3})$. Obviously, $\mathbb{Q} \leq \mathbb{Q}(u) \leq \mathbb{Q}(\sqrt{2}, \sqrt{3})$, where the first inclusion is proper. By the tower theorem again, $[\mathbb{Q}(u) : \mathbb{Q}]$ is a divisor of $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$, so it is either 2 or 4. But $u \notin \mathbb{Q}(\sqrt{2})$, so it is 4; and $\mathbb{Q}(u) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$.

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Theorem

The extension $E \leq F$ is finite dimensional iff there are a finite number of elements $u_1, \dots, u_r \in F$, algebraic over E , such that $F = E(u_1, \dots, u_r)$.

Proof.

If $[F : E] = n < \infty$ then there is a basis $u_1, \dots, u_n \in F$. These basis elements are algebraic over E .

If there are such algebraic elements, then clearly u_j is algebraic over $E(u_1, \dots, u_{j-1})$, and $[E(u_1, \dots, u_{j-1}, u_j) : E(u_1, \dots, u_{j-1})] \leq [E(u_j) : E]$, so by the tower theorem,

$$[F : E] = [E(u_1, \dots, u_r) : E] \leq [E(u_1) : E] \cdots [E(u_r) : E] < \infty.$$



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Theorem (Primitive element thm)

Let $E \leq F$ be a finite dimensional extension, and suppose that either $\text{char}(E) = 0$ (that is, $\mathbb{Q} \leq E$) or that E is finite. Then there exists a primitive element $u \in F$ for the extension: $F = E(u)$.

Proof.

The proofs are in Svensson, maybe also in Judson. □

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Consider the iterated simple extension

$$\mathbb{Z}_2 \leq E \leq F, \quad E = \frac{\mathbb{Z}_2[x]}{(x^2 + x + 1)}, \quad F = \frac{E[y]}{(y^3 + y + 1)}$$

Clearly

$$F = \mathbb{Z}_2(\bar{x}, \bar{y}) = \text{span}_{\mathbb{Q}}(1, \bar{x}, \bar{y}, \bar{x}\bar{y}, \bar{y}^2, \bar{x}\bar{y}^2).$$

Let's find a primitive element!

Put $v = \bar{x} + \bar{y}$. Then

$$[1, v, v^2, v^3, v^4, v^5]$$



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Example

is

$$[1, x + y, y^2 + x + 1, xy^2 + xy, y^2 + x + y, xy^2 + y^2 + x + y]$$

We take the coordinate vectors of these (w.r.t. our preferred basis) and put them in a matrix. Then these 6 powers span F iff they are linearly independent iff the matrix is invertible iff it has determinant 1 in \mathbb{Z}_2 . The matrix is

$$\begin{pmatrix} 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 \end{pmatrix}$$

and it has determinant 1. So $\mathbb{Z}_2(\bar{x} + \bar{y}) = \mathbb{Z}_2(\bar{x}, \bar{y}) = F$.

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Example

Let $F = \mathbb{Z}_2(t, u)$, rational functions in two variables, and let $E = \mathbb{Z}_2(t^2, u^2)$. Then E is a subfield of F , and $E \leq F$ is an algebraic extension of degree 4. There is, however, no primitive element for this extension.

Suppose that $a \in F \setminus E$. Then $a^2 \in E$ (because characteristic 2) hence it is a root of $f(x) = x^2 - a^2 \in E[x]$. This must be the minimal polynomial of a , and $E \leq E(a) \leq F$ is a non-trivial intermediate field. Hence a is no primitive element.



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Definition

Let $E \leq F$, and let

$$f(x) = \sum_{i=0}^n a_i x^i \in E[x]$$

Then $u \in F$ is a zero of $f(x)$ if

$$f(u) = \sum_{i=0}^n a_i u^i = 0 \in F$$

It is a simple zero if

$$(x - u) \mid f(x) \text{ but } (x - u)^2 \nmid f(x),$$

and more generally, a zero of multiplicity r if

$$(x - u)^r \mid f(x) \text{ but } (x - u)^{r+1} \nmid f(x).$$

Theorem (Kronecker)

Let $f(x) = \sum_{i=0}^n a_i x^i \in E[x]$ be non-constant. Then $f(x)$ has a zero somewhere.

Proof.

Let $f(x) = g(x)h(x) \in E(x)$, with $g(x)$ irreducible. Put

$$F = \frac{E[x]}{(g(x))}.$$

Then $E \leq F$, and $\bar{x} \in F$ is a zero of $f(x)$. □

This might look like some dubious sleight-of-hand, but it is completely on the up-and-up!

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Example

The polynomial $f(x) = x^2 + x + 1 \in \mathbb{Z}_2[x]$ is irreducible, hence has no linear factor, hence no zero (in \mathbb{Z}_2). In

$$F = \frac{\mathbb{Z}_2[x]}{(x^2 + x + 1)},$$

the elements are

$$0, 1, \bar{x}, \bar{x} + 1,$$

with the relation

$$\bar{x}^2 = \bar{x} + 1.$$

Now $f(x) = x^2 + x + 1$, viewed as a polynomial with coefficients in F , has two zeroes:

$$f(\bar{x}) = \bar{x}^2 + \bar{x} + 1 = 0$$

$$f(\bar{x} + 1) = (\bar{x} + 1)^2 + (\bar{x} + 1) + 1 = \bar{x}^2 + 1 + \bar{x} + 1 + 1 = 0$$

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Definition

Let $E \leq F$. The polynomial

$$f(x) = \sum_{i=0}^n a_i x^i \in E[x]$$

is said to split inside the extension F if there are distinct zeroes $u_1, \dots, u_r \in F$, and multiplicities $b_j \in \mathbb{Z}_+$, such that

$$f(x) = a^n \prod_{j=1}^r (x - u_j)^{b_j}$$

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The polynomial $f(x) = x^2 + 2 \in \mathbb{Q}[x]$ is irreducible over \mathbb{Q} but splits over $\mathbb{Q}(\sqrt{2}, i)$, since

$$x^2 + 2 = (x - i\sqrt{2})(x + i\sqrt{2}) \in \mathbb{Q}(\sqrt{2}, i)[x].$$

Example

The polynomial $f(x) = x^2 + x + 1 \in \mathbb{Z}_2[x]$ splits over the “Kronecker extension” we studied earlier, as

$$x^2 + x + 1 = (x + \bar{x})(x + \bar{x} + 1).$$

Definition

The polynomial

$$f(x) = \sum_{i=0}^n a_i x^i \in E[x]$$

has F as its *splitting field* if

- ① $E \leq F$,
- ② $f(x)$ splits in $F[x]$,
- ③ Write

$$f(x) = a^n \prod_{j=1}^r (x - u_j)^{b_j}$$

Then $F = E(u_1, \dots, u_r)$

So, we adjoin zeroes of $f(x)$, but nothing unnecessary.

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Theorem

The polynomial

$$f(x) = \sum_{i=0}^n a_i x^i \in E[x]$$

has a splitting field F , and this splitting field is unique up to a rigid isomorphism:

$$\begin{array}{ccc} F & \xrightarrow{\phi} & L \\ i \uparrow & & j \uparrow \\ E & \xrightarrow{id} & E \end{array}$$

The degree $[F : E] \leq n!$ and if $f(x)$ is irreducible then $[F : E] \geq n$.

Proof.

Read your textbook!



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Example (The most famous splitting field example there is!)

Let $f(x) = x^3 - 2 \in \mathbb{Q}[x]$. What is its splitting field? Put

$$\alpha = \sqrt[3]{2}$$

$$\beta = \exp\left(\frac{2\pi i}{3}\right) = -\frac{1}{2} + i\frac{\sqrt{2}}{3}$$

which have minimal defining polynomial relations (over \mathbb{Q})

$$\alpha^3 - 2 = 0$$

$$\frac{\beta^3 - 1}{\beta - 1} = \beta^2 + \beta + 1 = 0$$



Example (Cont)

Then, in $\mathbb{Q}(\alpha, \beta)$, $f(x)$ splits as

$$x^3 - 2 = (x - \alpha)(x - \alpha\beta)(x - \alpha\beta^2)$$

So the splitting field is contained in $\mathbb{Q}(\alpha, \beta)$, and of course contains the zeroes

$$\alpha, \alpha\beta, \alpha\beta^2.$$

But then it also contains $\frac{\alpha\beta}{\alpha} = \beta$, so it is actually equal to $\mathbb{Q}(\alpha, \beta)$.

Since $x^3 - 2 \in \mathbb{Q}[x]$ is irreducible, $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$. We have that

$$(x - \alpha\beta)(x - \alpha\beta^2) = x^2 + x + 1$$

is irreducible over $\mathbb{Q}(\alpha)$, so $[\mathbb{Q}(\alpha)(\beta) : \mathbb{Q}(\alpha)] = 2$; the tower theorem now reveals that $[\mathbb{Q}(\alpha, \beta) : \mathbb{Q}] = 3 * 2 = 6$.

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Example (Cont)

Note:

- $\alpha \in \mathbb{R}$, so $\mathbb{Q}(\alpha) \subseteq \mathbb{R}$
- α has minimal polynomial $x^3 - 2$ over \mathbb{Q}
- This minimal polynomial factors over $\mathbb{Q}(\alpha)$ as

$$x^3 - 2 = (x - \alpha)(x^2 - \alpha(\beta + \beta^2)x + \alpha^2\beta^3) = (x - \alpha)(x^2 + \alpha x + \alpha^2),$$

where the latter factor is irreducible

- In particular, we have not found the splitting field after adjoining α to \mathbb{Q} .

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Example

Let $f(x) = x^3 + x + 1 \in \mathbb{Z}_2[x]$. It is irreducible. In $F[y] = \frac{\mathbb{Z}_2[x]}{(x^3+x+1)}[y]$, we have that

$$y^3 + y + 1 = (y + \bar{x}) * (y + \bar{x}^2) * (y + \bar{x}^2 + \bar{x})$$

So, F is the splitting field, since $[F : \mathbb{Z}_2] = 3$.

We found the splitting field after adjoining just one zero!

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Example

Let $f(x) = x^4 + 4 \in \mathbb{Q}[x]$. Then

$$f(x) = x^4 + 4 = (x^2 + 2x + 2)(x^2 - 2x + 2),$$

By Eisenstein's criteria, these two factors are irreducible. Further analysis reveals that the splitting field has degree two over \mathbb{Q} .



Example

Let p be a prime number, and let $f(x) = x^p - 1 \in \mathbb{Q}[x]$. Then

$$f(x) = x^p - 1 = (x - 1)(x^{p-1} + x^{p-2} + \cdots + x + 1),$$

and the latter factor (call it $g(x)$) can be shown to be irreducible. The zeroes of $g(x)$ are

$$\left\{ \xi^k \mid 1 \leq k \leq p-1 \right\}, \quad \xi = \exp\left(\frac{2\pi i}{p}\right)$$

and the splitting field is $\mathbb{Q}(\xi)$, which has degree $p-1$ over \mathbb{Q} .

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Example

Let p be a prime number, and let $f(x) = x^p - 2 \in \mathbb{Q}[x]$. Then the splitting field of $f(x)$ is an extension of degree $p(p-1)$.

Prove this on your own as an exercise!

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Lemma

Let $E \leq F$ be a field extension. The set of elements of F that are algebraic over E forms a field, which is an algebraic extension over E .

Example

Let $\mathbb{Q} \leq \mathbb{C}$. The set of complex numbers that are algebraic over \mathbb{Q} is called the *field of algebraic numbers*. By definition, any zero of a rational polynomial is an algebraic number. More surprisingly, every zero of a polynomial with algebraic number coefficients — is an algebraic number!



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Definition

The field \bar{K} is an *algebraic closure* of K if

- ① $K \leq \bar{K}$
- ② the extension is algebraic
- ③ every $f(x) \in K[x]$ splits over $\bar{K}[x]$.

Example

$\bar{\mathbb{Q}}$, the field of algebraic numbers, is an algebraic closure of \mathbb{Q} .

Definition

The field E is *algebraically closed* if every non-constant $f(x) \in E[x]$ has a zero in E .

Lemma

If E is algebraically closed, and $f(x) \in E[x]$, then $f(x)$ splits in E .

Proof.

Since $f(x)$ has a zero $u \in E$, it has a factor $(x - u) \in E[x]$. Split it off; the remaining factor also has a zero, and so on. □

Theorem (Fundamental theorem of algebra)

The complex field \mathbb{C} is algebraically closed.

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Lemma

Let \bar{K} be an algebraic closure of K . Then \bar{K} is algebraically closed (so equal to its closure).

Proof.

- 1 Take a polynomial $f(x) \in \bar{K}$, and pick a zero u (somewhere).
- 2 Then $\bar{K} \leq \bar{K}(u)$ is algebraic.
- 3 Furthermore $K \leq \bar{K}$ is algebraic.
- 4 This means that $K \leq \bar{K}(u)$ is algebraic.
- 5 In particular, u is algebraic over K .
- 6 But then it belongs to \bar{K} .
- 7 Hence, all zeroes of polynomials in $\bar{K}[x]$ remain in \bar{K} .
- 8 So this field is algebraically closed.



Theorem

Let E be a field. Then there exists a unique (up to rigid isomorphism) algebraic closure \bar{E} , i.e.

- ① $E \leq \bar{E}$, and this extension is algebraic
- ② Any polynomial with coefficients in E have a zero in \bar{E} ,
- ③ Any polynomial with coefficients in \bar{E} have a zero in \bar{E} ,

Proof.

Needs set theory yoga. □

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Example

$\mathbb{Q} \leq \overline{\mathbb{Q}} \leq \mathbb{C} \leq \mathbb{C}(x)$. The field of algebraic numbers is

- ① the algebraic closure of \mathbb{Q} ,
- ② algebraically closed,
- ③ the set of complex numbers that are algebraic over \mathbb{Q} .

The complex field \mathbb{C} algebraically closed, and its own algebraic closure. It is still properly contained in the field of rational functions with complex coefficients — this latter field is *not* algebraically closed! I believe the algebraic closure is the field of *Puiseux series*.



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Recall that a complex number α is algebraic over \mathbb{Q} if it is the zero of a non-trivial polynomial with rational coefficients, i.e., if

$$c_n \alpha^n + c_{n-1} \alpha^{n-1} + \cdots + c_1 \alpha + c_0 = 0, \quad c_j \in \mathbb{Q}, c_n \neq 0, n \geq 1$$

Definition

The complex number α is an *algebraic integer* if it is the zero of a monic polynomial with integer coefficients, i.e. if

$$c_n \alpha^n + c_{n-1} \alpha^{n-1} + \cdots + c_1 \alpha + c_0 = 0, \quad c_j \in \mathbb{Z}, c_n = 1, n \geq 1$$

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Example

Let $q = \sqrt{1/2}$. Then $q^2 = 1/2$, so q has minimal polynomial $x^2 - 1/2$. Let $I \subset \mathbb{Q}[x]$ consist of those polynomials that have q as a zero. Then $I = (x^2 - 1/2)$. It contains monic polynomials and polynomials with integer coefficients (such as $2x^2 - 1$) but no monic polynomial with integer coefficients. So the algebraic number q is not an algebraic integer. However, $2q$ has minimal polynomial $x^2 - 2$, so it is an algebraic integer.

Theorem

If $\alpha \in \mathbb{C}$ is an algebraic number, then $n\alpha$ is an algebraic integer for some positive integer n .

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Construction with straightedge and compass

- Can't trisect an angle!
- Can't double a cube!
- Can't square a circle!

At least not a general angle et cetera, and using only an (unmarked) straightedge (linjal) and a compass (passare), and finitely many operations (no limits).

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- You are given a plane, and in the plane, two points.
- The distance between the points is, by definition, 1.
- You can construct new lines and new circles by drawing the line between two constructed points, and drawing the circle with midpoint of a constructed segment and another constructed point on its periphery.
- Intersection points between lines and lines, between lines and circle, between circles and circles, are also constructed (or constructible) points.
- Keep going indefinitely, get a subset of constructible points in the plane.
- The x and y coordinates of constructible points form a subset of \mathbb{R} , the constructible real numbers.



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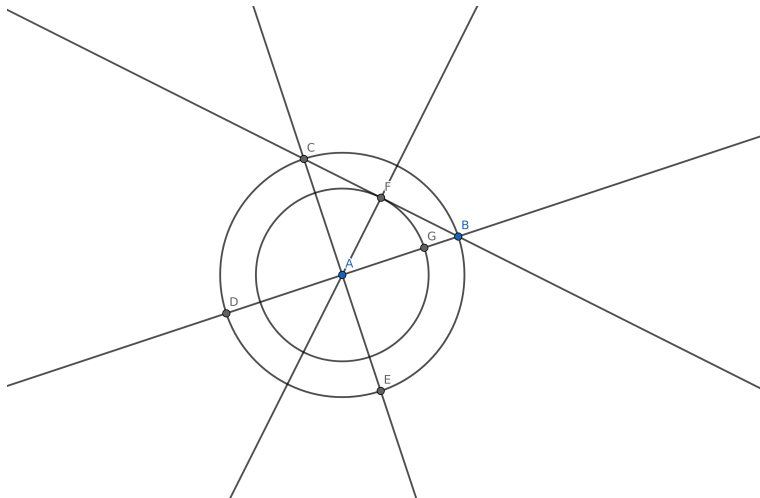
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G has x -coordinate $1/\sqrt{2}$, which is hence constructible. (A general point on the lines/circles is not constructed).



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Theorem

- ① *The set of constructible numbers form a subfield of $K \leq \mathbb{R}$.*
- ② *$[K : \mathbb{Q}] = \infty$*
- ③ *For $u \in K$, $[\mathbb{Q}(u) : \mathbb{Q}] = 2^n$, for some n (which depends on u).*
- ④ *In fact, u is constructible iff there is some finite chain of simple quadratic radical extensions*

$$\mathbb{Q} \leq \mathbb{Q}(\sqrt{\alpha_1}) \leq \mathbb{Q}(\sqrt{\alpha_2}) \leq \cdots \leq \mathbb{Q}(\sqrt{\alpha_n}) = \mathbb{Q}(u)$$

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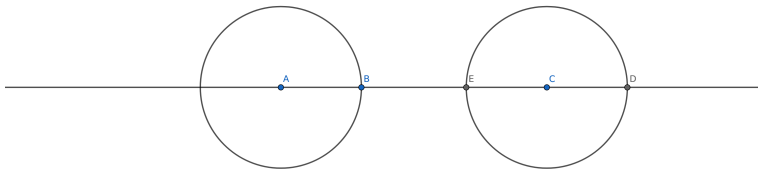
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$AB = \alpha$, $AC = \beta$, $\alpha + \beta$ and $\alpha - \beta$ constructed.

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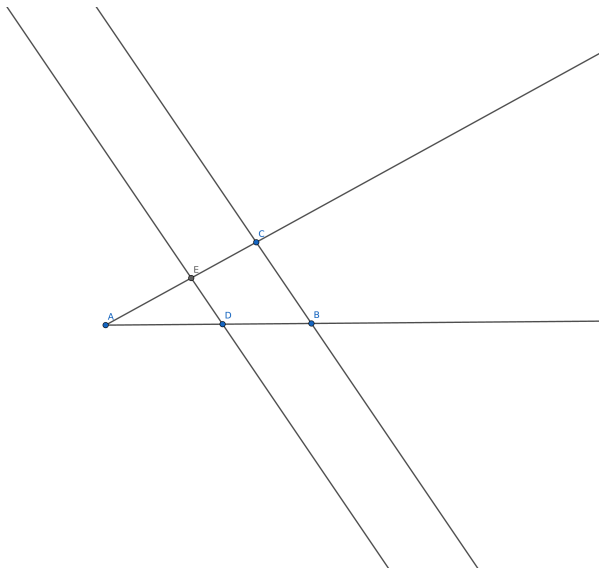
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$AB/AD = AC/AE$, so take $AC = 1$, $AD = x$, $AB = y$, get $AE = x/y$.

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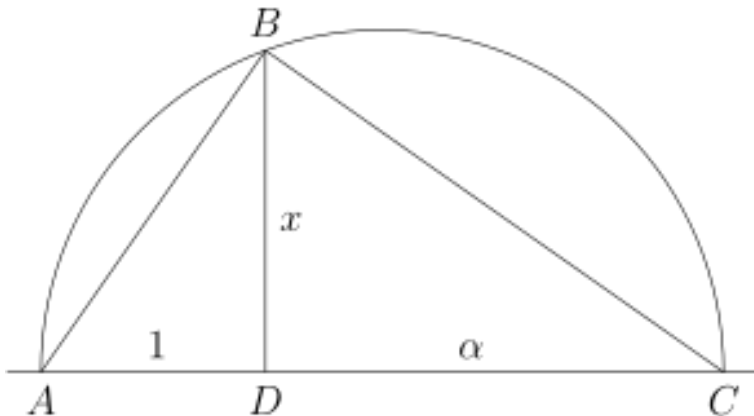
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Square root of α .

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Coefficients in K .

- Line $L: Ax + By + C = 0$
- Circle $S_1: (x - d_1)^2 + (y - d_2)^2 - r_1 = 0$
- Circle $S_2: (x - d_3)^2 + (y - d_4)^2 - r_2 = 0$

Intersection $L \cap S_1: y = (-A/B)x - C/B$ so

$$\begin{aligned} 0 &= (x - d_1)^2 + ((-A/B)x - C/B - d_2)^2 - r_1 \\ &= ux^2 + vx + w, \quad u, v, w \in K \\ &= u(x^2 + v/ux + w/u) \\ &= u((x - v/(2u))^2 - v^2/(4u^2) + w/u) \end{aligned}$$

with zeroes in $K(\sqrt{v^2/(4u^2) - w/u})$.

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Example

- Any rational number is constructible



$$\sqrt{3/4 + \sqrt{7/3}}$$

is constructible

- $\cos(\pi/3)$ is constructible
- $\alpha = \cos(\pi/9)$ is not constructible, since

$$1/2 = \cos(\pi/3) = \cos(3 * \pi/9) = 4 \cos^3(\pi/9) - 3 \cos(\pi/9)$$

and hence α is a root of

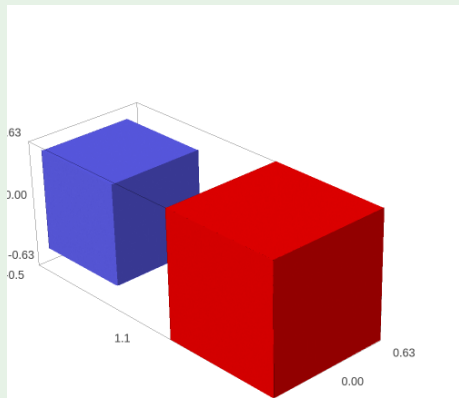
$$4x^3 - 3x - 1/2 = 0$$

where the LHS is a irreducible polynomial; hence $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$, not a power of two.

Doubling the cube

Example

The number $2^{1/3}$ is algebraic of degree 3, hence not constructible. So one can not construct, with straightedge and compass, the side length of a cube of volume 2.





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Example

It is impossible to “square the circle”, i.e. construct a square with the same area as a unit circle, since π is transcendental.

